

In the United States Patent and Trademark Office

Serial No.:	09/841,388	Docket No.:	17209-476
Filed:	April 24, 2001	Conf.No.:	6352 Art Unit: 3695
Applicant(s):	Asif Adatia	Examiner:	Havan, Thu Thao
For:	Automated Securities Trade Execution System and Method		

Mailstop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE

Sir:

Further to the Non-Final Office Action dated September 29, 2009 (hereinafter "Office Action"), and in view of the following amendments and/or remarks, Asif Adatia and/or Attorney(s) for applicant(s) (hereinafter "Applicant") request entry thereof and reconsideration of the above-referenced application.

Amendments to the Claims are reflected in the listing of claims begin on page 2.

Remarks begin on page 9 of this paper.

35 USC § 102 remarks begin on page 9 of this paper.